

## CORRESPONDENT CLEARING SERVICES

### PROVIDING THE TOOLS TO HELP YOU DELIVER SUPERIOR SERVICE

First Southwest Company Correspondent Clearing Services provides fully disclosed trade execution, clearing and back office services. The dedicated team of professionals focuses on developing long-standing relationships with correspondent firms by offering a level of personalized service unsurpassed in a highly competitive industry. Clients can trust First Southwest to provide consistently accurate and efficient clearing services. The firm clears for more than 70 NASD firms and registered investment advisors nationwide.

First Southwest uses leading-edge technology to expedite and track account transactions. The firm's platform provides:

- Internet Capabilities for Representatives and Clients
- Portfolio Tracking with Cost Basis
- Real-Time Positions and Balances
- Online Statements and Confirmations
- Online Customized Reports
- Historical Online Account Activity
- Real-Time Bloomberg Interface

### Scope Of Services

- Automated Executions
- Mutual Fund Networking
- Consolidated Account Statements
- Dividend Reinvestment Program
- IRA, KEOGH and SEP Accounts
- Automated Money Market Sweeps
- Free Check Writing
- Visa Debit Card
- ACH Capabilities
- Paperless Environment
- Market Data Services



MEMBER FINRA & SIPC

### Contact Information

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